

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number		10789439
Filing Date		2004-02-26
First Named Inventor	Scott Ganz	
Art Unit	3738	
Examiner Name	ANN M SCHILLINGER	
Attorney Docket Number	ZP587-06047	

## **U.S.PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6150459		2000-11-21	Mayes et al.	
	2	5204055		1993-04-20	Sachs	
	3	7122057	B2	2006-10-17	Beam et al.	
	4	5370692		1994-12-06	Fink	
	5	5490962		1996-02-13	Cima	
	6	5518680		1996-05-21	Cima	
	7	5869170		1999-02-09	Cima et al.	
	8	6530958		2003-03-11	Cima et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10789439
Filing Date		2004-02-26
First Named Inventor	Scott Ganz	
Art Unit		3738
Examiner Name	ANN M SCHILLINGER	
Attorney Docket Number		ZP587-06047

	9	4203217		1980-05-20	Kurer	
	10	4543065		1985-09-24	Bushway	
	11	4629464		1986-12-16	Takata et al.	
	12	4685883		1987-08-11	Jernberg	
	13	4725234		1988-02-16	Ethridge	
	14	4728331		1988-03-01	Russier	
	15	4737411		1988-04-12	Graves, Jr. et al.	
	16	4738623		1988-04-19	Driskell	
	17	4752294		1988-06-21	Lundgren	
	18	4764377		1988-08-16	Goodson	
	19	4820306		1989-04-11	Gorman et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10789439
Filing Date		2004-02-26
First Named Inventor	Scott Ganz	
Art Unit		3738
Examiner Name	ANN M SCHILLINGER	
Attorney Docket Number		ZP587-06047

	20	4863472		1989-09-05	Tormala et al.	
	21	4872840		1989-10-10	Bori	
	22	4961707		1990-10-09	Magnusson et al.	
	23	4976736		1990-12-11	White et al.	
	24	5011495		1991-04-30	Hollinger	
	25	5032445		1991-07-16	Scantlebury et al.	
	26	5034352		1991-07-23	Vit et al.	
	27	5059123		1991-10-22	Jernberg	
	28	5073114		1991-12-17	Detsch	
	29	5077049		1991-12-31	Dunn	
	30	5098779		1992-03-24	Kranzler et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10789439
Filing Date		2004-02-26
First Named Inventor	Scott Ganz	
Art Unit		3738
Examiner Name	ANN M SCHILLINGER	
Attorney Docket Number		ZP587-06047

	31	5167502		1992-12-01	Kawahara et al.	
	32	5197882		1993-03-30	Jernberg	
	33	5246370		1993-09-21	Coatoam	
	34	5372503		1994-12-13	Elia	
	35	5378152		1995-01-03	Elia	
	36	5397235		1995-03-14	Elia	
	37	5531794		1996-07-02	Takagi et al.	
	38	5607689		1997-03-04	Checchi	
	39	5622500		1997-04-22	Niznick	
	40	5641518		1997-06-24	Badylak et al.	
	41	5700479		1997-12-23	Lundgren	

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10789439	
	Filing Date		2004-02-26	
	First Named Inventor	Scott Ganz		
	Art Unit		3738	
	Examiner Name	ANN M SCHILLINGER		
	Attorney Docket Number		ZP587-06047	

	42	5759033		1998-06-02	Elia	
	43	5769897		1998-06-23	Harle	
	44	5769898		1998-06-23	Jisander	
	45	5792508		1998-08-11	Kitamura	
	46	5837278		1998-11-17	Geistlich	
	47	5839899		1998-11-24	Robinson	
	48	5843348		1998-12-01	Giordano	
	49	5873721		1999-02-23	Willoughby	
	50	5919234		1999-07-06	Lemperle et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10789439	
	Filing Date		2004-02-26	
	First Named Inventor	Scott Ganz		
	Art Unit	3738		
	Examiner Name	ANN M SCHILLINGER		
	Attorney Docket Number	ZP587-06047		

	1					
--	---	--	--	--	--	--

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE			
Examiner Signature		Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10789439
Filing Date	2004-02-26
First Named Inventor	Scott Ganz
Art Unit	3738
Examiner Name	ANN M SCHILLINGER
Attorney Docket Number	ZP587-06047

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Eric Killmeier/	Date (YYYY-MM-DD)	2007-09-19
Name/Print	Eric Killmeier	Registration Number	55327

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.